

May 19, 2018 at 02:31

**1. Intro.** This program generates clauses that enforce the constraint  $x_1 + \dots + x_n \leq r$ , using a method due to Olivier Bailleux and Yacine Boufkhad [*Lecture Notes in Computer Science* **2833** (2003), 108–122]. It introduces at most  $(n - 2)r$  new variables  $B_{i,j}$  for  $2 \leq i < n$  and  $1 \leq j \leq r$ , and a number of clauses that I haven't yet tried to count carefully, but it is at most  $O(nr)$ . All clauses have length 3 or less.

With change files we can change the names of the variables  $x_i$ .

```
#define nmax 10000
#include <stdio.h>
#include <stdlib.h>
int n, r; /* the given parameters */
int count[nmax + nmax]; /* the number of leaves below each node */
main(int argc, char *argv[])
{
    register int i, j, k, jl, jr, t, tl, tr;
    <Process the command line 2>;
    if (r == 0) <Handle the trivial case directly 6>
    else {
        <Build the complete binary tree with n leaves 3>;
        for (i = n - 2; i; i--) <Generate the clauses for node i 4>;
        <Generate the clauses at the root 5>;
    }
}
```

```
2. <Process the command line 2> ≡
if (argc != 3 || sscanf(argv[1], "%d", &n) != 1 || sscanf(argv[2], "%d", &r) != 1) {
    fprintf(stderr, "Usage: %s s n r\n", argv[0]);
    exit(-1);
}
if (n > nmax) {
    fprintf(stderr, "Recompile me: I'd don't allow n > %d\n", nmax);
    exit(-2);
}
if (r < 0 || r >= n) {
    fprintf(stderr, "Eh? r should be between 0 and n-1!\n");
    exit(-2);
}
printf("~sat-threshold-bb %d %d\n", n, r);
```

This code is used in section 1.

**3.** The tree has  $2n - 1$  nodes, with 0 as the root; the leaves start at node  $n - 1$ . Nonleaf node  $k$  has left child  $2k + 1$  and right child  $2k + 2$ . Here we simply fill the *count* array.

```
<Build the complete binary tree with n leaves 3> ≡
for (k = n + n - 2; k >= n - 1; k--) count[k] = 1;
for (; k >= 0; k--) count[k] = count[k + k + 1] + count[k + k + 2];
if (count[0] != n) fprintf(stderr, "I'm totally confused.\n");
```

This code is used in section 1.

4. If there are  $t$  leaves below node  $i$ , we introduce  $k = \min(r, t)$  variables  $B_{i+1}.j$  for  $1 \leq j \leq k$ . This variable is 1 if (but not only if) at least  $j$  of those leaf variables are true. If  $t > r$ , we also assert that no  $r + 1$  of those variables are true.

```
#define xbar(k) printf("~x%d", (k) - n + 2)
⟨Generate the clauses for node  $i$  4⟩ ≡
{
  t = count[i], tl = count[i + i + 1], tr = count[i + i + 2];
  if (t > r + 1) t = r + 1;
  if (tl > r) tl = r;
  if (tr > r) tr = r;
  for (jl = 0; jl ≤ tl; jl++)
    for (jr = 0; jr ≤ tr; jr++)
      if ((jl + jr ≤ t) ∧ (jl + jr) > 0) {
        if (jl) {
          if (i + i + 1 ≥ n - 1) xbar(i + i + 1);
          else printf("~B%d.%d", i + i + 2, jl);
        }
        if (jr) {
          printf("_");
          if (i + i + 2 ≥ n - 1) xbar(i + i + 2);
          else printf("~B%d.%d", i + i + 3, jr);
        }
        if (jl + jr ≤ r) printf("_B%d.%d\n", i + 1, jl + jr);
        else printf("\n");
      }
}
```

This code is used in section 1.

5. Finally, we assert that at most  $r$  of the  $x$ 's are true, by implicitly asserting that the (nonexistent) variable  $B_{1.r+1}$  is false.

```
⟨Generate the clauses at the root 5⟩ ≡
  tl = count[1], tr = count[2];
  if (tl > r) tl = r;
  for (jl = 1; jl ≤ tl; jl++) {
    jr = r + 1 - jl;
    if (jr ≤ tr) {
      if (1 ≥ n - 1) xbar(1);
      else printf("~B2.%d", jl);
      printf("_");
      if (2 ≥ n - 1) xbar(2);
      else printf("~B3.%d", jr);
      printf("\n");
    }
  }
```

This code is used in section 1.

6.  $\langle \text{Handle the trivial case directly } 6 \rangle \equiv$   
  {  
    **for** ( $i = 1; i \leq n; i++$ ) {  
       $xbar(n - 2 + i);$   
       $printf("\mathbf{n}");$   
    }  
  }

This code is used in section 1.

**7. Index.***argc*: 1, 2.*argv*: 1, 2.*count*: 1, 3, 4, 5.*exit*: 2.*fprintf*: 2, 3.*i*: 1.*j*: 1.*jl*: 1, 4, 5.*jr*: 1, 4, 5.*k*: 1.*main*: 1.*n*: 1.*nmax*: 1, 2.*printf*: 2, 4, 5, 6.*r*: 1.*sscanf*: 2.*stderr*: 2, 3.*t*: 1.*tl*: 1, 4, 5.*tr*: 1, 4, 5.*xbar*: 4, 5, 6.

- ⟨ Build the complete binary tree with  $n$  leaves 3 ⟩    Used in section 1.
- ⟨ Generate the clauses at the root 5 ⟩    Used in section 1.
- ⟨ Generate the clauses for node  $i$  4 ⟩    Used in section 1.
- ⟨ Handle the trivial case directly 6 ⟩    Used in section 1.
- ⟨ Process the command line 2 ⟩    Used in section 1.

# SAT-THRESHOLD-BB

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