

1. Intro. Kazimierz Zarankiewicz asked [*Colloquium Mathematicum* **2** (1951), 301] for the smallest N such that every $n \times n$ matrix of zeros and ones contains a 2×2 submatrix of ones. R. K. Guy [in *Theory of Graphs* (Academic Press, 1968), 119–150] considered generalizations of the problem to nonsquare matrices and submatrices, and tabulated results for small cases. Here I simply generate clauses that are satisfiable if and only if there's an $m \times n$ matrix containing at least r 1s but no such 2×2 submatrix.

This problem is interesting because of its many symmetries: $m!$ ways to permute the rows, times $n!$ ways to permute the columns. (If $m = n$, we can also transpose the matrix.)

I remove many of the symmetries, by requiring that the rows are in lexicographic order (when restricted to the first p columns) and the columns are in lexicographic order (when read top-down and restricted to the first q rows).

Setting $p = n$ and $q = m$ gives the maximum constraints, but smaller values may provide satisfactory symmetry breaking with less total cost.

```
#define nmax 1000 /* upper bound on m x n */
#include <stdio.h>
#include <stdlib.h>
int m, n, r, p, q; /* command-line parameters */
int count[2 * nmax]; /* used for the cardinality constraints */
main(int argc, char *argv[])
{
    register int i, j, ii, jj, k, mn, t, tl, tr, jl, jr;
    <Process the command line 2>;
    <Generate the clauses for the lexicographic row constraints 4>;
    <Generate the clauses for the lexicographic column constraints 5>;
    <Generate the clauses for the rectangle constraints 3>;
    <Generate the clauses for the cardinality constraints 6>;
}

2. <Process the command line 2> ≡
if (argc ≠ 6 ∨ sscanf(argv[1], "%d", &m) ≠ 1 ∨ sscanf(argv[2], "%d", &n) ≠ 1 ∨ sscanf(argv[3], "%d",
    &r) ≠ 1 ∨ sscanf(argv[4], "%d", &p) ≠ 1 ∨ sscanf(argv[5], "%d", &q) ≠ 1) {
    fprintf(stderr, "Usage: %s s m n r p q\n", argv[0]);
    exit(-1);
}
mn = m * n;
if (mn > nmax) {
    fprintf(stderr, "Sorry: %d is %d, and I'm set up for at most %d!\n", mn, nmax);
    exit(-2);
}
if (p > n) {
    fprintf(stderr, "Parameter p should be at most %d, not %d!\n", n, p);
    exit(-3);
}
if (q > m) {
    fprintf(stderr, "Parameter q should be at most %d, not %d!\n", m, q);
    exit(-4);
}
printf("~ sat-zarank %d %d %d %d %d\n", m, n, r, p, q);
```

This code is used in section 1.

3. \langle Generate the clauses for the rectangle constraints 3 $\rangle \equiv$
for ($i = 0$; $i < m$; $i++$)
 for ($ii = i + 1$; $ii < m$; $ii++$)
 for ($j = 0$; $j < n$; $j++$)
 for ($jj = j + 1$; $jj < n$; $jj++$) {
 $\text{printf}(\text{"~\%d.\%d_~\%d.\%d_~\%d.\%d_~\%d.\%d\n"}, i, j, ii, j, i, jj, ii, jj);$
 }
 }

This code is used in section 1.

4. (See SAT-LEXORDER.) I choose *decreasing* order, because (a) fewer binary matrices with a given number of 1s (assumed less than $mn/2$) are doubly ordered when we do it this way; and (b) the connected components of the underlying bipartite graph are nicely revealed, as proved by Mader and Mutzbauer in 2001.

\langle Generate the clauses for the lexicographic row constraints 4 $\rangle \equiv$
for ($i = 1$; $i < m$; $i++$) {
 for ($k = 1$; $k \leq p$; $k++$) {
 if ($k \neq p$) {
 if ($k \neq 1$) $\text{printf}(\text{"~R\%d.\%d"}, i, k - 1);$
 $\text{printf}(\text{"_R\%d.\%d_~\%d.\%d\n"}, i, k, i - 1, k - 1);$
 if ($k \neq 1$) $\text{printf}(\text{"~R\%d.\%d"}, i, k - 1);$
 $\text{printf}(\text{"_R\%d.\%d_~\%d.\%d\n"}, i, k, i, k - 1);$
 }
 if ($k \neq 1$) $\text{printf}(\text{"~R\%d.\%d"}, i, k - 1);$
 $\text{printf}(\text{"_~\%d.\%d_~\%d.\%d\n"}, i - 1, k - 1, i, k - 1);$
 }
}

This code is used in section 1.

5. \langle Generate the clauses for the lexicographic column constraints 5 $\rangle \equiv$
for ($i = 1$; $i < n$; $i++$) {
 for ($k = 1$; $k \leq q$; $k++$) {
 if ($k \neq q$) {
 if ($k \neq 1$) $\text{printf}(\text{"~C\%d.\%d"}, k - 1, i);$
 $\text{printf}(\text{"_C\%d.\%d_~\%d.\%d\n"}, k, i, k - 1, i - 1);$
 if ($k \neq 1$) $\text{printf}(\text{"~C\%d.\%d"}, k - 1, i);$
 $\text{printf}(\text{"_C\%d.\%d_~\%d.\%d\n"}, k, i, k - 1, i);$
 }
 if ($k \neq 1$) $\text{printf}(\text{"~C\%d.\%d"}, k - 1, i);$
 $\text{printf}(\text{"_~\%d.\%d_~\%d.\%d\n"}, k - 1, i - 1, k - 1, i);$
 }
}

This code is used in section 1.

6. Finally come the clauses that require at least r 1s in the matrix. As usual, I copy stuff from SAT-THRESHOLD-BB.

\langle Generate the clauses for the cardinality constraints 6 $\rangle \equiv$
 \langle Build the complete binary tree with mn leaves 7 \rangle ;
 $r = mn - r$; /* convert to asking for at most $mn - r$ zeroes */
 for ($i = mn - 2$; i ; $i--$) \langle Generate the clauses for node i 8 \rangle ;
 \langle Generate the clauses at the root 9 \rangle ;

This code is used in section 1.

7. The tree has $2mn - 1$ nodes, with 0 as the root; the leaves start at node $mn - 1$. Nonleaf node k has left child $2k + 1$ and right child $2k + 2$. Here we simply fill the *count* array.

```

⟨ Build the complete binary tree with  $mn$  leaves 7 ⟩ ≡
  for ( $k = mn + mn - 2$ ;  $k \geq mn - 1$ ;  $k--$ )  $count[k] = 1$ ;
  for ( ;  $k \geq 0$ ;  $k--$ )  $count[k] = count[k + k + 1] + count[k + k + 2]$ ;
  if ( $count[0] \neq mn$ )  $fprintf(stderr, "I'm totally confused.\n");$ 

```

This code is used in section 6.

8. If there are t leaves below node i , we introduce $k = \min(r, t)$ variables $B_{i+1.j}$ for $1 \leq j \leq k$. This variable is 1 if (but not only if) at least j of those leaf variables are true. If $t > r$, we also assert that no $r + 1$ of those variables are true.

```

#define  $x(k)$   $printf("%d.\%d", ((k) - mn + 1)/n, ((k) - mn + 1) \% n)$ 

```

```

⟨ Generate the clauses for node  $i$  8 ⟩ ≡
{
   $t = count[i]$ ,  $tl = count[i + i + 1]$ ,  $tr = count[i + i + 2]$ ;
  if ( $t > r + 1$ )  $t = r + 1$ ;
  if ( $tl > r$ )  $tl = r$ ;
  if ( $tr > r$ )  $tr = r$ ;
  for ( $jl = 0$ ;  $jl \leq tl$ ;  $jl++$ )
    for ( $jr = 0$ ;  $jr \leq tr$ ;  $jr++$ )
      if ( $(jl + jr \leq t) \wedge (jl + jr) > 0$ ) {
        if ( $jl$ ) {
          if ( $i + i + 1 \geq mn - 1$ )  $x(i + i + 1)$ ;
          else  $printf("\sim B\%d.\%d", i + i + 2, jl)$ ;
        }
        if ( $jr$ ) {
           $printf("\_");$ 
          if ( $i + i + 2 \geq mn - 1$ )  $x(i + i + 2)$ ;
          else  $printf("\sim B\%d.\%d", i + i + 3, jr)$ ;
        }
        if ( $jl + jr \leq r$ )  $printf("\_B\%d.\%d\n", i + 1, jl + jr)$ ;
        else  $printf("\n")$ ;
      }
}

```

This code is used in section 6.

9. Finally, we assert that at most r of the x 's aren't true, by implicitly asserting that the (nonexistent) variable $\mathbf{B1}.r+1$ is false.

\langle Generate the clauses at the root 9 $\rangle \equiv$

```

     $tl = count[1], tr = count[2];$ 
    if ( $tl > r$ )  $tl = r;$ 
    for ( $jl = 1; jl \leq tl; jl++$ ) {
         $jr = r + 1 - jl;$ 
        if ( $jr \leq tr$ ) {
            if ( $1 \geq mn - 1$ )  $x(1);$ 
            else  $printf(\sim \mathbf{B2}.\%d, jl);$ 
             $printf(\text{"\n"});$ 
            if ( $2 \geq mn - 1$ )  $x(2);$ 
            else  $printf(\sim \mathbf{B3}.\%d, jr);$ 
             $printf(\text{"\n"});$ 
        }
    }

```

This code is used in section 6.

10. Index.*argc*: 1, 2.*argv*: 1, 2.*count*: 1, 7, 8, 9.*exit*: 2.*fprintf*: 2, 7.*i*: 1.*ii*: 1, 3.*j*: 1.*jj*: 1, 3.*jl*: 1, 8, 9.*jr*: 1, 8, 9.*k*: 1.*m*: 1.*main*: 1.*mn*: 1, 2, 6, 7, 8, 9.*n*: 1.*nmax*: 1, 2.*p*: 1.*printf*: 2, 3, 4, 5, 8, 9.*q*: 1.*r*: 1.*scanf*: 2.*stderr*: 2, 7.*t*: 1.*tl*: 1, 8, 9.*tr*: 1, 8, 9.*x*: 8.

- ⟨ Build the complete binary tree with mn leaves 7 ⟩ Used in section 6.
- ⟨ Generate the clauses at the root 9 ⟩ Used in section 6.
- ⟨ Generate the clauses for node i 8 ⟩ Used in section 6.
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SAT-ZARANK

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